



SOFQ^{Q&As}

Securities Operations Foundation Qualification (SOFQ)

Pass ICMA SOFQ Exam with 100% Guarantee

Free Download Real Questions & Answers **PDF** and **VCE** file from:

<https://www.passapply.com/sofq.html>

100% Passing Guarantee
100% Money Back Assurance

Following Questions and Answers are all new published by ICMA
Official Exam Center

-  **Instant Download** After Purchase
-  **100% Money Back** Guarantee
-  **365 Days** Free Update
-  **800,000+** Satisfied Customers





QUESTION 1

From the perspective of one party to a securities trade, Free of Payment settlement without risk means:

- A. Delivering an asset to the counterparty prior to receipt of the contra asset from the counterparty
- B. Simultaneously exchanging securities and cash with the counterparty
- C. Delivering an asset to the counterparty following successful exchange of trade confirmations
- D. Receiving the counterparty's asset before instructing release of the contra asset

Correct Answer: D

QUESTION 2

A bond which pays interest semi-annually quotes its interest rate:

- A. Relative to a period of 6 months
- B. Relative to a period of 24 months
- C. Relative to a period of 12 months
- D. Relative to a period of 3 months

Correct Answer: C

QUESTION 3

Bond types that are traded at a deeply discounted price are:

- A. Floating rate notes
- B. Zero coupon bonds
- C. Convertible bonds and Exchangeable bonds
- D. Fixed rate bonds

Correct Answer: B

QUESTION 4

The number of days of accrued interest, and the cash value of accrued interest - on a trade of USD 45,000,000 World Bank 4.37% Bonds 1st December 2032, paying interest semi- annually on 1st December and 1st June, trade date 22~d October, value date 25th October, is:

- A. 144 days and USD 786,600.00



- B. 174 days and USD 950,475.00
- C. 141 days and USD 770,212.50
- D. 171 days and USD 934,087-50

Correct Answer: A

QUESTION 5

Within the security entitled '\\Exxon Mobil Corporation USD 1.00 Common Stock\\', the '\\USD 1.00\\' is commonly known as the:

- A. issue value
- B. par value
- C. notional quantity
- D. share size

Correct Answer: B

[Latest SOFQ Dumps](#)

[SOFQ VCE Dumps](#)

[SOFQ Braindumps](#)