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QUESTION 1

What may leave more risk than a company should prudently assume due to the risk exacerbating features of a particular product?

- A. Feasible investment strategy
- B. Design strategy
- C. Risk strategy
- D. Product risk strategy

Correct Answer: A

QUESTION 2

In processing _____, many entities keep a single file for each insured event, with separate identification of each claimant.

- A. Insurance claim
- B. transaction cycle
- C. Liability claim
- D. Compensation period

Correct Answer: C

QUESTION 3

There are many different sources of CMBS. Conduits and aggregate pools generally consist of loans newly originated, purchased or held by investment bankers until the pool is large enough for an efficient execution. Government agencies such as the Federal National Mortgage Association (FNMA) and the Federal Home Loan Mortgage Corp. (FHLMC) are important sources of:

- A. Residential financing
- B. B2B financing.
- C. Commercial financing.
- D. Mortgage loans

Correct Answer: A

QUESTION 4



The approach in which the investment policy should "identify acceptable ranges for investments in different types of instruments, including cash, equities, bonds and debentures, and real property is known as:

- A. Prudent Person Approach
- B. Cash Outflow Approach
- C. Regular investment Approach
- D. Asset requisition Approach

Correct Answer: A

QUESTION 5

A substantial investment is defined as any investment comprising more than 15 percent of an enterprise's voting shares or greater than 35 percent of its equity.

- A. True
- B. False

Correct Answer: B

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