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QUESTION 1

Which of the following methods can an audit program manager use to establish consistency among auditors?

- A. Develop performance appraisals for all auditors
- B. Assign auditors to permanent audit teams
- C. Require auditors to read audit journals and references
- D. Require auditors to develop their own procedures manual

Correct Answer: A

QUESTION 2

An auditee is likely to be most threatened by an auditor's use of which of the following interviewing techniques?

- A. Paraphrasing an auditee's response while writing it down
- B. Being silent while waiting for an auditee to respond to a question
- C. Underlining key facts when recording an auditee's response
- D. Using a tape recorder to record an auditee's response

Correct Answer: D

QUESTION 3

Typically, which two of the following are more important to an auditor in maintaining his or her auditing competency?

- I. Understanding current standards for quality systems
- II. Attending audit closing meetings
- III. Networking with other auditors and auditing organizations
- IV. Learning about changes to existing auditing techniques

- A. I and II
- B. I and IV
- C. II and III



II and III

D.

III and IV

Correct Answer: B

QUESTION 4

A root cause of a nonconformance is defined as a problem that

- A. is discovered by conducting designed experiments
- B. is established through the use of fishbone diagrams
- C. must be reviewed by the material review board
- D. must be corrected in order to prevent a recurrence

Correct Answer: D

QUESTION 5

Which of the following is the greatest benefit of an internal audit program to an organization?

- A. It fulfills most contractual quality requirements.
- B. It provides feedback for continuous improvement.
- C. It prepares an organization for third-party audits.
- D. It frequently eliminates the need for external audits.

Correct Answer: B

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