



3i0-010^{Q&As}

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QUESTION 1

Which of the following trades involves replacement risk?

- A. the purchase of shares through Deutsche-Brse-Clearing (payment against delivery)
- B. the purchase of an OTC call option
- C. the taking of call money
- D. a long position in Bund futures

Correct Answer: B

QUESTION 2

You suspect that a colleague is guilty of embezzlement. What should you do?

- A. keep the information to yourself
- B. tell your supervisor
- C. tell your work-mates but not your supervisor
- D. talk to the colleague in question

Correct Answer: B

QUESTION 3

S.I.

stands for:

- A.
Secure Software Integration
- B.
Standard Settlement Instructions
- C.
Single Shared Information
- D.
SWIFT Settlement Instruction

Correct Answer: B



QUESTION 4

A Luxembourg based bank, which regularly buys American securities for its customers, will preferably:

- A. buy the American securities directly on the NYSE
- B. open a bank account in the United States
- C. open an account in a bank in the U.S. that has an account at the NYSE
- D. buy the securities directly in Luxembourg

Correct Answer: C

QUESTION 5

The trade life cycle of a treasury deal starts with input and ends with:

- A. accounting
- B. adjustment of bilateral limits
- C. netting
- D. nostro reconciliation

Correct Answer: D

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